



Asiatic Stock & Securities Ltd.

(Member: National Stock Exchange of India Ltd)

205-206, Sita Ram Mansion, 718, Joshi Road, Karol Bagh, New Delhi-110005

Tel: 011-41545955/56/57/58, 23554225/6/7 CIN :U74899UP1994PLC050968

POLICY ON FACSIMILE (SCANNED) SIGNATURE

Background

NSE Circular No. 280/2016 and download reference no NSE/INSP/32524 vide dated 6th June, 2016.

Policy

Asiatic Stock & Securities Ltd (ASSL) will affix facsimile signatures (scanned signature) on the physical contract notes issued to its clients.

The following controls and procedures are being put in place regarding the use of facsimile/scanned signature:

1. Mr. Harvind Kumar Gupta Designated Director is hereby authorised to affix his facsimile signature (scanned signature) in the Contract Notes and other documents issued by ASSL to its clients
2. The procedure/ controls for the same is as under;
 - a. The signature shall be scanned and uploaded into the back office systems /software
 - b. The signature would be affixed only on documents generated by the Back Office Software
 - c. In case of change of authorized signatories, the signatures would be replaced after due Board Approval.
3. In case Mr. Harvind Kumar Gupta, no longer holds the position of Director of the company, then the use of his signature should not be continued afterwards under any circumstances.

The contract note issued with facsimile/scanned signature shall be deemed to have been signed by the authorized signatory notwithstanding any misuse of facsimile/scanned signature and the ultimate responsibility to prove its genuineness shall rest with Asiatic Stock & Securities Ltd .

Review of the policy

The Board of directors of the company shall review the policy as and when required